Rev 03/2021

FACTS	WHAT DOES WEALTHSOURCE DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: ■ Social Security number and risk tolerance ■ account balances and account transactions ■ assets and income
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons WealthSource chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does WealthSource share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	Yes	Yes

To limit our sharing	■ Mail the **form** below **Please note:** If you are a *new* customer, we can begin sharing your information **30** days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.
Questions?	Call 805-546-1000

Mail-in Form

Mark any/all you want to limit:

- ❑ Do not allow your affiliates to use my personal information to market to me.
- ❑ Do not share my personal information with nonaffiliates to market their products and services to me.

Name	
Address	
City, State, Zip	

Mail To: WealthSource
735 Tank Farm Road, Suite 240
San Luis Obispo, California 93401

Who we are	
Who is providing this notice?	WealthSource Partners, LLC; WealthSource Insurance Services LLC

What we do	
How does WealthSource protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does WealthSource collect my personal information?	We collect your personal information, for example, when you ■ seek advice about your investments or enter into an investment advisory contract ■ give us your contact information or give us your income information ■ tell us about your investment or retirement portfolio We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ *Our affiliates include companies with a WealthSource name; nonfinancial companies such as Model FA, LLC.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ *Nonaffiliates we share with are limited to broker-dealers that our Investment Adviser Representatives are currently associated with such as Fortune Financial Services, Inc. and APW Capital, Inc. and only for the purpose of supporting their required compliance, oversight and supervision responsibilities of such Investment Adviser Representative.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ *WealthSource doesn't jointly market.*